



## ***History of Student Investment Fund***

*Since 1997, the Student Investment Fund (SIF) Class has given TU finance majors unparalleled opportunity to apply financial theories and models to portfolio design and management. The SIF provides students with hands-on experience that prepares them for a financial career and allows them the flexibility to develop their own strategies and ideas with less personal risk. Fund earnings have provided more than \$650,000 in scholarships to over 190 students. As of September 30, 2013, the fund was valued at more than \$3.3 million.*

## ***Friends of Finance***

*The Friends of Finance organization was brought to life in 1985 by a small group of University of Tulsa finance alumni working together with TU faculty members. The idea was to raise awareness and support of the TU finance department, keep alumni involved with the university, and reach out to the surrounding community. Nearly thirty years later Friends of Finance has more than 400 dues paying members made up of TU alumni, business professionals, and supporters of the TU College of Business. The organization has created a gateway through which current TU students can network with TU alumni and local businesses. Guests of national prominence speak regularly at meetings exposing students to all aspects of the business world, and bringing TU into the national spotlight. Perhaps the greatest achievement thus far is the leading role the group took in the creation of The University of Tulsa's Student Investment Fund.*



Fall 2013 SIF Class

# University of Tulsa Student Investment Fund

## Educational Value Through Investment Growth



### Fund Objectives

The University of Tulsa Student Investment Fund was established under the premise of providing students with the necessary tools and facilities to gain experience in investment practices. Achieving above average returns is the objective of the SIF which is accomplished by an in-depth analysis. Fund members can select those companies who are leaders in their respective fields and exhibit the probability of strong growth and increasing value. Creating a diversified portfolio of securities helps the SIF realize an optimal risk-return relationship coupled with long-term wealth accumulation.

### September 30, 2013 Quick Facts

Portfolio Value  
(in Thousands)

04/18/1998 \$300  
09/30/2013 \$3,363

### Returns 09/30/2013

SIF  
YTD 18.15%  
1 yr. 17.42%  
3 yr. 16.37%  
5 yr. 11.17%  
10 yr. 8.52%

S&P  
YTD 16.80%  
1 yr. 19.35%  
3 yr. 16.27%  
5 yr. 10.02%  
10 yr. 7.57%

(1) Periods greater than 1-year represent CAGR returns

performance numbers shown are not GIPS compliant



### Executive Committee:

Jimmy Cook  
Evan Brugger  
Phoebe Hardwicke  
Sarthak Jain  
Zack Lee  
Yulong Yin  
Yingli Zhan  
Mengqi Zhang

### SIF Portfolio as of September 30, 2013 in Thousands

Company	Cost	Market	Company	Cost	Market
Apple Computer	19.1	92.0	Intuitive Surgical	35.7	36.9
AT&T	23.2	26.9	Johnson & Johnson	51.2	58.9
Baytex	51.0	53.6	J.P.Morgan Chase	65.7	75.1
BNS Bank	29.2	28.7	Kimberly Clark	30.2	51.2
Canadian Nat'l RR	74.2	119.7	MasterCard	52.1	129.2
Cognizant Tech.	35.4	55.5	McDonalds Corporation	25.5	26.9
Caterpillar	38.3	64.3	Neustar	20.2	27.0
CF Industries	15.3	16.9	Nike	28.6	77.1
Chubb Corp	101.1	110.7	Occidental Petroleum	49.5	57.8
Cleco Corp.	48.5	56.8	Priceline	11.8	83.9
Coca Cola Enterprise	19.1	24.7	QualComm	53.5	55.8
Costco Wholesale	19.8	23.0	Schlumberger	30.9	44.1
Diageo	43.9	80.0	Southern Company	20.1	19.3
DSW, Inc.	19.4	25.6	Tim Hortons	55.0	66.3
Exxon Mobil	40.3	59.6	US Bancorp	61.3	76.8
Freeport-McMoran	8.8	5.5	Varian Medical Systems	66.1	69.6
General Mills	75.7	101.9	Verizon	20.1	21.5
Gilead	46.9	175.2	SPDR S&P	736.8	1,051
Helmerich & Payne	38.0	44.8			
Illinois Tool	17.9	23.7	Cash	41.4	41.4
Intel Corp.	53.4	57.3			
International Flavors	29.3	46.4	<b>Total</b>	<b>\$2,303.5</b>	<b>\$3,362.5</b>

### Portfolio Holdings Sept. 30, 2013

